Code of Practice for Assessment and Academic-related Matters 2024-25

Est. 1841 YORK ST JOHN UNIVERSITY

Section 26 Support to Study policy

26.1 Purpose

- 26.1.1 Support to Study is, in the first instance, a supportive process used by academic staff to identify and implement measures to support a student when concerns about the student's performance or engagement have been identified through the University's systems for monitoring attendance and engagement. At later stages of the policy, it may be used to determine action in cases of persistent low or non-engagement or performance.
- 26.1.2 It is also used by the Visa and Compliance Team to manage issues related to low or non-engagement or performance by sponsored international students.
- 26.1.3 If the emerging concern is a behavioural issue, and there are no performance or engagement concerns, the Student Disciplinary Procedure should be followed.
- 26.1.4 Outstanding debt to the University is not dealt with under the Study to Support policy. For further information on this matter refer to the Student Financial Regulations.
- 26.1.5 If a student is on a programme of study leading to a professional and/or registrable award and the concern is related to the student's suitability for professional registration and practise with the public, the Fitness to Practise Policy should be followed (see section 29).
- 26.1.6 At any point through the Support to Study process it may be deemed necessary to implement the Fitness to Study Policy (see <u>section 28</u>). This is normally only after other support services have been used and where the behaviour, disruption or risk presented by a student is perceived to be of a serious or potentially serious nature or where there are serious ongoing health concerns.

26.2 Scope

- 26.2.1 This policy applies to students enrolled as set out in section 1.
- 26.2.2 The equivalent procedure to be followed in relation to programmes delivered by a franchise partner institution (franchise provision) or by a validated partner organisation (validated provision) will be set out in the programme documentation provided by the partner.

26.3 Stages of support to study

26.3.1 Support to Study consists of 4 stages of interventions designed to address concerns around student performance or engagement on their programmes. The stages are outlined below:

Stage 1: Emerging and/or moderate concern

- 26.3.2 This stage is managed by the student's Academic Tutor and/or their Module Director/Lead or Programme/Course Lead.
- 26.3.3 This is an informal, supportive stage to address emerging concerns around a student's performance and/or engagement in their programme of study following review of attendance or engagement data. As a minimum this stage of intervention should be enacted when a student's attendance falls below 60% during an attendance sample week.
- 26.3.4 Contact will be made with the student and noted on Engage, along with any further information on the concerns. The student will be signposted to available support services as relevant.

Stage 2: Continuing and significant concern

- 26.3.5 This stage is again managed by the student's Academic Tutor and/or Module Director/Lead or Programme/Course Lead.
- 26.3.6 This remains an informal supportive process which builds on contact made at Stage 1.

- 26.3.7 Contact will be made with the student again, reiterating The University's ongoing concerns and inviting the student to make contact with them. The student should be reassured of the supportive nature of this request but also made aware of the next steps should they not make contact (see section 26.3.11).
- 26.3.8 Notes will be made in Engage. Any agreed actions should be noted along with timeframes.
- 26.3.9 The following recommendations may be made and documented in Engage:
 - Opportunities for the student to access support (signposting).
 - Close and regular monitoring of the student's work, engagement, or participation.
 - An action plan, noting specific actions to be taken by specific dates. This might include completion of work and the staggering of deadlines leading up to the published deadline.
 - Other measures that the Academic Tutor and/or Module Director/Lead or Programme/Course Lead deems appropriate.
- 26.3.10 Students should be made aware that if they do not engage with this Stage and if the concerns about their engagement and/or performance continue, they will be invited to a formal meeting and they could be at risk of enforced withdrawal from their programme.

Stage 3: Persistent concern not meeting the threshold for Stage 4

- 26.3.11 This formal stage should be used where a Stage 4 meeting and any potential outcomes (see section 26.3.28) are not yet warranted. If it is considered that the concerns cannot be resolved through use of the Stage 3 process, Stage 4 (other) should be used. Examples of a Stage 3 might include:
 - Where there are ongoing concerns around a student's ability to continue the programme due to engagement and/or performance issues and where Stages 1 and 2 have not resulted in improvements.
 - Where a student can no longer succeed on their programme without formally considering options available.
 - Where the academic member of staff considers a more formal action plan needs to be agreed for monitoring with the student.
 - Where concerns around exceptional circumstances have been raised.
- 26.3.12 This stage is not suitable for sponsored international students instead Stage 4 (sponsored international students) should be used.
- 26.3.13 This stage is not suitable in cases where a student has not engaged with their programme for 4 consecutive weeks and has not responded to or engaged with Stage 2 interventions instead Stage 4 (presumed withdrawal) should be used.
- 26.3.14 This stage is again managed by a student's Academic Tutor and/or their Module Director/Lead or Programme/Course Lead.
- 26.3.15 The student must be given at least **3 working days' notice** of a Stage 3 meeting. This should be given in writing. The student must be informed of the reasons for the meeting at that time.
- 26.3.16 It is expected that Stage 3 meetings will take place on teams unless there is a reason for holding these in person. Attendance at a Stage 3 meeting may include:
 - One Academic (Programme/Course Lead).
 - Academic Tutor/a second academic if felt necessary.
 - Student.
 - Staff from professional services where appropriate.
 - Staff from the Student Wellbeing, Disability and Inclusion teams if the student has previously
 engaged with one of these support services.
- 26.3.17 The student may choose to be accompanied by a supporter at the meeting as defined in section 2.1.11.
- 26.3.18 Audio or video recordings of the meeting must not be made.
- 26.3.19 If a student does not attend the meeting, Stage 4 (Presumed withdrawal) meeting should be instigated.
- 26.3.20 Actions arising from a Stage 3 meeting will be confirmed at the meeting where possible. Where this is not possible, they should be conveyed to the student as soon as practicable. A summary of the recommendations and actions agreed will be noted in Engage.

26.3.21 Monitoring of outcomes from Stage 3 meetings should be undertaken by the Academic Tutor and/or Module Director/Lead or Programme//Course Lead. Should sufficient progress against actions not be made, the Academic Tutor and/or Module Director/Lead or Programme/Course Lead may instigate Stage 4.

Stage 4: Presumed withdrawal

- 26.3.22 Where the following apply:
 - A student has not engaged with their programme for 4 consecutive weeks; and
 - Has not responded to or engaged with Stage 2 or Stage 3 interventions; and
 - Is not a sponsored international student.

The School should follow the Presumed Withdrawal process (refer to section 25.5).

Stage 4: Standard: persistent and/or serious concerns not suitable for Stage 3 or not resolved at Stage 3

NB for sponsored international students please see Stage 4 - sponsored international students

- 26.3.23 The purpose of Stage 4 is to consider serious and persistent concerns around student performance or engagement that have not been resolved at previous stages, or where the extent of the concern means Stage 3 is not deemed to be appropriate. Examples include:
 - a) A student who has been 'presumed withdrawn' has contacted the University to say they wish to continue their programme.
 - b) Student performance and/or engagement is of serious and sustained concern and the student has failed to fulfil actions previously agreed in Stage 3 interventions.
 - c) There are ongoing academic concerns around a student's ability to continue their programme that have been unable to be addressed via engagement with their Academic Tutor, Module Director/Lead or Programme/Course Lead and do not meet requirements for a Fitness to Study or Fitness to Practise meeting.
- 26.3.24 An Associate Head/Dean of School or other appropriate staff member may convene a Stage 4 meeting. The student must be given at least 3 working days' notice of a Stage 4 meeting. This should be given in writing. The student must be provided in writing with all the relevant information covering the reasons for the meeting at the time.
- 26.3.25 Attendance at the meeting is as follows:
 - Associate Head/Dean of School or nominated representative.
 - Other academic staff relevant to the case.
 - Registry representative.
 - Administrative support (PASS team).
 - Staff from professional services, where appropriate.
 - Staff from the Student Wellbeing, Disability and Inclusion teams, if the student has previously engaged with one of these support services.
 - External stakeholders as relevant (Employers for Degree Apprenticeships/School Direct Partners).
- 26.3.26 The student may choose to be accompanied by a support at the meeting as defined in section 2.1.11.
- 26.3.27 Audio or video recordings of the meeting must not be made.
- 26.3.28 Outcomes may be (but are not limited to):
 - Enforced external resit.
 - Enforced suspension of studies.
 - Enforced withdrawal of enrolment.
 - Referral to another process e.g., Fitness to Study.
- 26.3.29 If it is subsequently considered a Stage 4 meeting should not have been held, recommendations or actions from previous stages can be used as an outcome to the meeting.
- 26.3.30 If the student is a degree apprentice and the Stage 4 meeting is being convened as the student has not gone through Gateway approval one year after their planned practical end date, please refer to section 8.6.2 for additional information.

- 26.3.31 Recommendations arising from a Stage 4 meeting will be confirmed at the meeting where possible. Where this is not possible, they should be conveyed to the student as soon as practicable. It should be noted that recommendations are subject to approval by the Chair of the School Assessment Board. If there is a conflict of interest due to the SAB Chair attending the meeting where the recommendation was made, the recommendation would be subject to approval by the Academic Registrar.
- 26.3.32 The Stage 4 meeting and resulting recommendations will be minuted by the Panel member providing administrative support; draft minutes are typically approved **within 3 working days**, and normally shared **within 5 working days**. The student will receive a copy of the minutes of the meeting, which will be emailed to their university email address; the minutes will also be placed on the student's record.
- 26.3.33 If the student does not attend a meeting to which they have been invited, decisions will be taken by the Panel in their absence.
- 26.3.34 Students may appeal against a decision of the School Assessment Board arising from recommendation from a Stage 4 meeting within **10 working days**. The Appeals and Conduct Committee will hear the appeal. Full information about the appeals procedure is available in <u>section 50</u>.

Stage 4 - sponsored international students

- 26.3.35 The Stage 4 sponsored international students process mirrors the Stage 4 standard process with the following additions:
 - The Visa and Compliance Team must be involved in any decision-making.
 - The Stage 4 presumed withdrawal process should not be used. Instead, this Stage 4 process should be used.

26.4 Support to study procedure flowchart

Stage 1 – Emerging and/or moderate concern (informal supportive stage)

Initial / moderate concerns about a student's attendance or participation on their programme:

- Student contacted by a relevant staff member to raise concerns (contact noted in Engage).
- As a minimum this stage should be enacted when student's attendance falls below 60% during an attendance sample week.
- Student given information regarding relevant available specialist support.

Student does not respond positively or does not engage

Student responds positively:

- Engages, and/or,
- Accesses support, and/or
- Fully addresses concerns.

Stage 2 – Continuing and significant concern (informal supportive stage)

Continued concerns about a student's attendance and/or engagement:

- Student contacted again by a relevant staff member to reiterate concerns (contact noted in Engage).
- Actions may be set to support the student in addressing the continued concerns (actions and timeframes noted in Engage).
- Clear consequences highlighted to student if the concerns are not addressed sufficiently.

Student continues to not respond positively or does not engage

Student did not attend Stage 2 meeting, and/or did not engage for 4 consecutive weeks

Follow

'Stage 4:
Presumed
withdrawal'
process

For a sponsored international student

Follow
'Stage 4:
Sponsored
Internationa
I Students'
process

For where the extent of the concerns means Stage 3 is not deemed to be appropriate.

Follow 'Stage 4:
Standard' (e.g.,
where the
programme has
strict attendance
criteria that the
student cannot
meet and
therefore cannot
remain on the
programme)

Stage 3 – Persistent and/or serious concern not meeting the threshold for Stage 4 (formal stage)

Persistent and/or serious concerns about a student's attendance and/or engagement where Stage 4 is not yet warranted:

- Student is not an international sponsored student
- Student invited in writing to a formal meeting.
- · Actions set and noted in Engage
- Actions monitored

Student did not attend Stage 3 meeting

Follow 'Stage 4: Standard' process

Student did not make sufficient progression in the actions set at the Stage 3 meeting

Follow 'Stage 4: Standard' process

Did not attend Stage 2
Or did not engage for 4
consecutive weeks

Sponsored international student

OR for where the extent of the concerns means Stage 3 is not deemed to be appropriate.

Student did not attend the Stage 3 meeting

OR has not made sufficient progression with actions set at Stage 3 meeting

Stage 4: Presumed withdrawal

The Presumed Withdrawal process is followed where the following apply:

- Student has not engaged with their programme for 4 consecutive weeks; and
- has not responded to or engaged with Stage 2 interventions; and
- is not a sponsored international student

Stage 4: Sponsored International Students

Persistent and/or serious concerns not suitable for Stage 3 or not resolved at Stage 3. Examples include:

- Follow the standard Stage 4 process with the following additions
- Visa and Compliance team must be involved in the decision-making

Stage 4: Standard

Persistent and/or serious concerns not suitable for Stage 3 or not resolved at Stage 3. Examples include:

- Student invited in writing to formal meeting
- Recommendations minuted and placed on student's record
- Decision will be taken in the absence of the student

Outcomes may be (but are not limited to):

- Enforced external resit.
- · Enforced suspension of studies.
- Enforced withdrawal of enrolment.
- Referral to another process e.g., Fitness to Study

Where applicable, recommended outcome made to Chair of School Assessment Board for approval. Student may appeal decision.

26.5 Return to Study meeting and Return to Study Plan

- 26.5.1 Following a successful appeal, the Appeals and Conduct Committee may approve the immediate resumption of a student's enrolment on their programme. In exceptional cases this may mean that a student resumes study having missed a significant period of teaching. In cases such as this the Appeals and Conduct Committee may request that a Return to Study (RtS) meeting is arranged to put a Return to Study Plan in place for the student.
- 26.5.2 Attendance at the meeting is as follows:
 - Associate Head/Dean of School or nominated representative.
 - Other academic staff relevant to the case.
 - Registry representative.
 - Administrative support (PASS).
 - Staff from professional services where appropriate.
 - Staff from the Student Wellbeing, Disability and Inclusion teams, if the student has previously engaged with one of these support services.
 - External stakeholders as relevant (Employers for Degree Apprenticeships/School Direct Partners).
- 26.5.3 At least **3 working days** before the meeting, the student will receive an email to their university email address setting out the reasons for the meeting being convened, and specifying the date, time, and venue for the meeting. The student should be provided with a copy of any evidence the Panel deems relevant and appropriate to the meeting before the meeting takes place.
- 26.5.4 The student may choose to be accompanied by a supporter at the meeting as defined in <u>section 2.1.11</u>.
- 26.5.5 Audio or video recording of the meeting must not be made.
- 26.5.6 The purpose of the Return to Study meeting is to put in place a Return to Study Plan to support the student due to the missed period of teaching. Support may include, but is not limited to:
 - Confirmation of any teaching that is to be repeated in the forthcoming semester or tutorial sessions that will be offered.
 - b) Directing the student to materials/information to read to assist with catching up on missed teaching.
 - c) If applicable, the following additional support measures may be implemented:
 - Extensions to submission deadlines.
 - The rearrangement of in-class tests, scheduled assessments, or the deferment of an examination to the next opportunity (normally the reassessment period).
 - The arrangement of an alternative assessment where the original assessment cannot be completed due to the period of missed teaching (e.g., due to group work).
- 26.5.7 The Assessment team in Registry has the delegated authority on behalf of the Special Cases Panel to approve extensions to deadlines and repeat teaching as part of a Return to Study Plan.
- 26.5.8 The Return to Study meeting and the resulting Return to Study Plan will be minuted by the Panel member providing administrative support; draft minutes are typically approved within **3 working days**, and normally shared within **5 working days**. In some cases, the Visa and Compliance team will need to be consulted post-meeting and minutes will not be released until any such consultation has taken place. The student will receive a copy of the minutes of the meeting, which will be emailed to their university email address; the minutes will also be placed on the student's record.
- 26.5.9 All approved extensions to deadlines and/or repeat teaching will be documented on the Return to Study Plan; a copy of which will be sent to the student's university email address and to the Module Directors/Leads.
- 26.5.10 Once the Return to Study Plan has been finalised and sent to the student any further requests for extensions to deadlines must be made through the exceptional circumstances process and this request should only be considered for additional circumstances (i.e., something other than the student's return to study).
- 26.5.11 The Return to Study Plan is designed to put in place arrangements to mitigate against the student being disadvantaged due to their immediate return to study and therefore the missed teaching cannot then be used as grounds for further extensions through exceptional circumstances for these or other assessments, or as the basis for any subsequent appeal. This does not affect the student's right to appeal on other grounds (see section 50).

26.6 Professional Statutory and Regulatory Body Requirements (PSRB)

26.6.1 It is recognised that some professional programmes will be required to hold formal meetings with students to address concerns outside of the <u>Support to Study policy</u> and Fitness to Practise policy (<u>section 29</u>) in order to meet PSRB requirements. It is up to the School to determine the requirements of those meetings. Involvement in any such process and outcomes and/or decisions should be clearly noted in Engage.