# Code of Practice for Assessment and Academicrelated Matters 2024-25

Est. 1841 YORK ST JOHN UNIVERSITY

# **Section 29 Fitness to Practise policy**

#### 29.1 Purpose

- 29.1.1 This policy and procedure set out how the University deals with cases where concerns arise relating to a student's suitability for professional registration and practice. The University has a particular responsibility in respect of students who will graduate with academic awards which also confer the right to apply for professional qualifications and registration with a professional body.
- 29.1.2 The University will be guided by the professional standards and guidance provided by relevant professional bodies where applicable and by its statutory duties under the Equality Act 2010.

#### 29.2 Scope

- 29.2.1 This policy applies to students as set out in section 1.
- 29.2.2 This policy applies to programmes of study leading to professional and/or registrable awards.
- 29.2.3 This policy does not apply to registered health professionals or teachers who may be following continuing professional development (CPD) programmes of study. Such students are subject to the fitness to practise procedures of their professional bodies/employing organisations.
- 29.2.4 The University may also use this procedure to address concerns relating to students following programmes that require students to engage in interpersonal practice or demonstrate interpersonal skills, where issues of health and fitness to engage in such practice are relevant and where the University has a particular duty of care towards other participating students or where for other ethical reasons it believes that concerns need to be addressed.
- 29.2.5 Issues of unacceptable behaviour which occur within a taught element of the programme, or on School experience or professional practice experience modules may lead to the failure of such programme elements. Where appropriate, the student may use the standard university 'Appeals Policy' and procedure to raise an objection to the outcome (see section 50).
- 29.2.6 As well as, or in addition to this procedure, the University's <u>Student Disciplinary Procedure</u> and/or 'Support to Study' procedure may be used where appropriate (refer to <u>section 26</u>). The 'Fitness to Study Policy' may be relevant (refer to <u>section 28</u>). The University reserves the right to decide the most appropriate procedure based on the facts of each case; more than one procedure may be invoked.

#### 29.3 Policy

- 29.3.1 It is the University's policy that students whose behaviour and conduct does not align with professional body requirements and documented expectations should not be permitted to proceed and/or graduate with a registrable degree or award.
- 29.3.2 The University will take account of its obligations under the Equality Act 2010 and will consider whether reasonable adjustments can be made to facilitate the completion of studies and practice with the public. The duty to make reasonable adjustments does not include a duty to make reasonable adjustments to the application of a competence standard.

- 29.3.3 The following (as examples) may call a student's suitability into question:
  - a) Alcohol, drug, or substance abuse.
  - b) Inappropriate behaviour including harassment, abuse of others, violence or threats of violence, indecency, drug-dealing and unprofessional behaviour.
  - c) Conviction for a criminal offence where the offence is such as to render the student unfit to practise and/or would be unacceptable to a professional body. Such offences would include offences of violence against a person.
  - d) Concerns about a student's fitness to work which in the opinion of an occupational health practitioner would raise doubts about suitability for the intended profession or calling.
  - e) Ethical or behavioural misdemeanours.
- 29.3.4 This list is not exhaustive. Subject areas, drawing on the advice offered by their professional bodies, may draw up additional guidance notes for students, providing more details on the range of behavioural, conduct and health matters which relate to the profession in question.
- 29.3.5 Behaviour or conduct which may give cause for concern includes behaviour both within and beyond the University.
- 29.3.6 A student's enrolment will be withdrawn (enforced withdrawal) if the professional body which accredits a registrable programme declines to admit a student to student-membership of the professional body (where applicable).
- 29.3.7 A student on one of the relevant programmes has a duty to disclose to the Academic Tutor or another member of the programme team any issue of health, conduct or behaviour which may impact on fitness to practise.
- 29.3.8 Matters relating to a student's fitness to practise may be revealed by the student concerned to a member of staff or another student. Even where this has been done on a confidential basis, disclosure of the situation may be necessary in the public interest and where the failure to disclose will increase risk to the public. Members of staff and students have a responsibility to disclose such information promptly to the Head/Dean of School or nominated representative or designated School Fitness to Practise Officer.
- 29.3.9 Any matter of conduct or behaviour which may impact on fitness to practise should be identified as soon as possible in the interests of the student concerned. The University will seek to provide support to the student and, if enforced withdrawal of enrolment is necessary, will provide, where possible, transfer to a suitable alternative, non-registrable programme of study. For transfers to an alternative programme of study the receiving Head/Dean of School, or nominated representative, will be provided with the necessary information to make an informed decision as to whether transfer to the proposed programme of study is appropriate.
- 29.3.10 A student who is required to have their enrolment withdrawn (enforced withdrawal) for reasons of unsuitability to practise may be eligible to leave the University with a non-professional exit award according to the progress made through the programme of study, as set out in the <u>programme specification regulations</u> for that programme.

#### 29.4 Procedures

- 29.4.1 The Head/Dean of School, or nominated representative, may designate an officer responsible for dealing with matters of fitness to practise. All references to a specific role in these procedures should be understood to include the nominee of those persons.
- 29.4.2 A student who is aware of any reason which may render themselves unfit to practise should report the matter to the Head/Dean of School, or nominated representative, or the designated officer. Any member of staff who believes that a student has an issue which may raise questions of fitness to practise should also inform the Head/Dean of School, or nominated representative, or the designated officer.
- 29.4.3 The designated officer (where applicable) together with the Head/Dean of School, or nominated representative, and any other staff or external representatives of a profession which the Head/Dean of School determines should be involved will interview the student. A student will be given **3 working days'** notice of any interview. The student may choose to be accompanied by a supporter at the meeting as defined in <a href="section 2.1.11">section 2.1.11</a>. If the student's supporter behaves inappropriately at any stage while the hearing is being dealt with, this person will be asked to withdraw involvement.
- 29.4.4 Audio or video recordings of the meeting must not be made.

## 29.5 Membership of a Fitness to Practise Interview Panel

- 29.5.1 The membership of the Panel would be as follows:
  - Head/Dean of School or nominated representative (Chair).
  - The designated officer responsible for dealing with matters of fitness to practise (if applicable).
  - An external representative of the relevant profession.
  - An internal member of staff from another subject area with experience of professional body requirements.
  - Registry representative.
  - Administrative support.
- 29.5.2 The following staff may also be in attendance:
  - Disability Support and Inclusion team Manager.
  - Head of Student Wellbeing, Disability and Inclusion.
- 29.5.3 Where a member of staff cannot attend the meeting, a written report may be submitted. The Fitness to Practise Panel may include other written evidence it deems relevant. This will be shared with the student before the meeting.

## 29.6 Recommendations by Fitness to Practise Interview Panel

- 29.6.1 A Fitness to Practise Interview Panel may decide:
  - a) No further action is required.
  - b) A minor problem exists which may be addressed through appropriate support and the progress of the student will be kept under review. The Associate Head/Dean of School or nominated representative or designated officer will ensure that the student is referred for help as necessary and will keep the situation under review.
  - c) A potentially serious problem exists which may raise concerns about fitness to practise and a student's ability to proceed with the programme.
  - d) To enforce suspension of studies.
  - e) To withdraw the student's enrolment (enforced withdrawal).
- 29.6.2 Enforced suspension may only be recommended if the student can still complete all study and assessments within 24 months following the standard period of study for their programme (see <u>General Regulation 1.15</u>).
- 29.6.3 If a potentially serious concern is identified, the Head/Dean of School or nominated representative together with the relevant subject staff will:
  - a) Recommend that the student seeks appropriate support.
  - b) Determine whether the student should be recommended for enforced suspension from the programme pending seeking reports from occupational health, a professional body, or other experts.
- 29.6.4 If reports from occupational health, a professional body or other experts suggest that a student remain on suspension for a period until the situation has been addressed and remedied, the Associate Head/Dean of School, or nominated representative, will be empowered to make a recommendation to the Chair of the School Assessment Board that the student is required to suspend studies (enforced suspension). Conditions for return from the suspension of studies, such as a satisfactory report from a relevant expert, may be set. The Chair of the School Assessment Board will decide whether the recommendation should be upheld.
- 29.6.5 If reports from occupational health, a professional body or other experts suggest that the situation is not likely to be remedied within reasonable time or if the cause for concern is such that it cannot be readily remedied or that behaviour will be unacceptable to a professional body, the Associate Head/Dean of School or nominated representative may make a recommendation to the Chair of the School Assessment Board that the student's enrolment should be withdrawn (enforced withdrawal) on the grounds of the student being unfit to practise. The Head/Dean of School or nominated representative will make the recommendation in the form of a written report on the case, accompanied by supporting evidence.
- 29.6.6 The Chair of the School Assessment Board will either:
  - a) Support the recommendation for withdrawal of enrolment (enforced withdrawal).

or

b) Propose that the student remain on programme with appropriate monitoring by the designated officer and/or treatment or period of enforced suspension of studies.

- 29.6.7 If the student does not attend a meeting to which they have been invited, decisions will be taken by the Panel in their absence.
- 29.6.8 The decision of the Chair of the School Assessment Board will be communicated to the student in writing and will be accompanied by the written report and supporting evidence.
- 29.6.9 In the case of enforced withdrawal enrolment, a student will be informed of:
  - a) The right to appeal against the decision.
  - b) The possibilities for career counselling, alternative programme of study and possible exit qualifications.

# 29.7 Appeal against a decision of the School Assessment Board a case of a student being deemed unfit for practice

- 29.7.1 If a student wishes to challenge the decision of the School Assessment Board regarding the enforced suspension of studies from the programme or enforced withdrawal of enrolment, the student may follow the standard university 'Appeals Policy' and procedure (refer to section 50). The deadline for appeals is 10 working days from the time the student is notified of the decision.
- 29.7.2 The appeal will be heard by a panel of members from the Appeals and Conduct Committee who have had no prior involvement with the case. The Committee will normally co-opt a person external to the University who has professional experience or background which may usefully inform the deliberations of the Committee in respect of professional matters.